

WHICH WEIGHTS ON \mathbb{R} ADMIT L_p JACKSON THEOREMS?

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ABSTRACT. Let $1 \leq p \leq \infty$ and $W : \mathbb{R} \rightarrow (0, \infty)$ be continuous. Does W admit a Jackson Theorem in L_p ? That is, does there exist a sequence $\{\eta_n\}_{n=1}^\infty$ of positive numbers with limit 0 such that

$$\inf_{\deg(P) \leq n} \| (f - P)W \|_{L_p(\mathbb{R})} \leq \eta_n \| f'W \|_{L_p(\mathbb{R})}$$

for all absolutely continuous f with $\| f'W \|_{L_p(\mathbb{R})}$ finite? We show that such a theorem is true iff

$$\lim_{x \rightarrow \infty} \| W^{-1} \|_{L_q[0,x]} \| W \|_{L_p[x,\infty)} = 0,$$

where q is the conjugate parameter of p . In an earlier paper, we considered weights admitting a Jackson theorem for all $1 \leq p \leq \infty$.

Keywords: Weighted approximation, polynomial approximation, Jackson-Bernstein theorems.

Research supported by NSF grant DMS-0400446 and Israel-US BSF grant 2004353

1. INTRODUCTION

Let $W : \mathbb{R} \rightarrow (0, \infty)$. Bernstein's approximation problem addresses the following question: when are the polynomials dense in the weighted space generated by W ? That is, when is it true that for every continuous $f : \mathbb{R} \rightarrow \mathbb{R}$ with

$$\lim_{|x| \rightarrow \infty} (fW)(x) = 0,$$

there exist a sequence of polynomials $\{P_n\}_{n=1}^\infty$ with

$$\lim_{n \rightarrow \infty} \| (f - P_n)W \|_{L_\infty(\mathbb{R})} = 0?$$

This problem was resolved independently by Pollard, Mergelyan and Achieser in the 1950's [6]. If $W \leq 1$, is even, and $\ln 1/W(e^x)$ is even and convex, a necessary and sufficient condition for density of the polynomials is [6, p. 170]

$$\int_0^\infty \frac{\ln 1/W(x)}{1+x^2} dx = \infty.$$

In particular, for $W_\alpha(x) = \exp(-|x|^\alpha)$, the polynomials are dense iff $\alpha \geq 1$.

Date: 16 January 2006.

In the 1950's the search began for a quantitative form of Bernstein's Theorem. One obvious question is whether there are weighted analogues of classical theorems of Jackson and Bernstein, namely

$$\inf_{\deg(P) \leq n} \|f - P\|_{L_\infty[-1,1]} \leq \frac{C}{n} \|f'\|_{L_\infty[-1,1]},$$

with C independent of f and n , and the inf being over (algebraic) polynomials of degree at most n . For the weights W_α , where $\alpha > 1$, it is known that if $1 \leq p \leq \infty$,

$$(1) \quad \inf_{\deg(P) \leq n} \|(f - P)W_\alpha\|_{L_p(\mathbb{R})} \leq Cn^{-1+\frac{1}{\alpha}} \|f'W\|_{L_p(\mathbb{R})},$$

with C independent of f and n [5, p. 185, (11.3.5)] [11, p. 81, (4.1.5a)]. This inequality is also often formulated in Jackson-Favard form,

$$\inf_{\deg(P) \leq n} \|(f - P)W_\alpha\|_{L_p(\mathbb{R})} \leq Cn^{-1+\frac{1}{\alpha}} \inf_{\deg(P) \leq n-1} \|(f' - P)W_\alpha\|_{L_p(\mathbb{R})}.$$

More general Jackson type theorems involving weighted moduli of continuity for various classes of weights were proved in [4], [5], [11].

In a recent paper [10], the author showed that the weight W_1 does not admit a Jackson estimate like (1), even though the polynomials are dense in the weighted space generated by W_1 . The author also characterized weights that admit Jackson theorems in L_p for all $1 \leq p \leq \infty$. The main result there was:

Theorem 1.1

Let $W : \mathbb{R} \rightarrow (0, \infty)$ be continuous. The following are equivalent:

(a) There exists a sequence $\{\eta_n\}_{n=1}^\infty$ of positive numbers with limit 0 and with the following property. For each $1 \leq p \leq \infty$, and for all absolutely continuous f with $\|f'W\|_{L_p(\mathbb{R})}$ finite, we have

$$(2) \quad \inf_{\deg(P) \leq n} \|(f - P)W\|_{L_p(\mathbb{R})} \leq \eta_n \|f'W\|_{L_p(\mathbb{R})}, n \geq 1.$$

(b) Both

$$(3) \quad \lim_{x \rightarrow \infty} W(x) \int_0^x W^{-1} = 0$$

and

$$(4) \quad \lim_{x \rightarrow \infty} W(x)^{-1} \int_x^\infty W = 0$$

with analogous limits as $x \rightarrow -\infty$.

As a corollary it was shown that if $W = e^{-Q}$, where Q' exists for large $|x|$, then there is a Jackson theorem in L_p for all $1 \leq p \leq \infty$, when $\pm Q'(x) \rightarrow \infty$ as $x \rightarrow \pm\infty$ and there is no Jackson theorem if $Q'(x)$ is bounded for large $|x|$.

In this paper, we focus on just a single L_p space and ask which weights admit Jackson theorems in that space. We prove:

Theorem 1.2

Let $W : \mathbb{R} \rightarrow (0, \infty)$ be continuous. Let $1 \leq p \leq \infty$ and $\frac{1}{p} + \frac{1}{q} = 1$. The following are equivalent:

(a) There exists a sequence $\{\eta_n\}_{n=1}^{\infty}$ of positive numbers with limit 0 such that for all absolutely continuous f with $\|f'W\|_{L_p(\mathbb{R})}$ finite, we have

$$(5) \quad \inf_{\deg(P) \leq n} \|(f - P)W\|_{L_p(\mathbb{R})} \leq \eta_n \|f'W\|_{L_p(\mathbb{R})}, n \geq 1.$$

(b)

$$(6) \quad \lim_{x \rightarrow \infty} \|W\|_{L_p[x, \infty]} \|W^{-1}\|_{L_q[0, x]} = 0,$$

with an analogous limit as $x \rightarrow -\infty$.

Remarks

(a) Thus there is a Jackson type theorem in a specific L_p space iff (6) holds. In fact, we shall show in Section 3 that (6) is necessary and sufficient for the existence of a decreasing function $\eta : (0, \infty) \rightarrow (0, \infty)$ with limit 0 at ∞ , such that

$$\|f'W\|_{L_p[a, \infty)} \leq \eta(a) \|fW\|_{L_p[0, \infty)}$$

for all absolutely continuous f with $f(0) = 0$. This is a "shifting" weighted Hardy inequality.

(b) Theorem 1.2 actually implies Theorem 1.1. For the condition (6) for $p = 1$ is equivalent to (4) and for $p = \infty$ is equivalent to (3). Interpolation then gives (2) for $1 < p < \infty$. Of course, Theorem 1.1 does not imply Theorem 1.2.

(b) It was shown in [10] that there is a weight W admitting an L_1 Jackson theorem, but not an L_∞ one (and conversely). Here we show:

Theorem 1.3

Let $1 \leq p, r \leq \infty$ with $p \neq r$. There exists $W : \mathbb{R} \rightarrow (0, \infty)$ such that

$$\frac{1}{1+x^2} \leq W(x) / \exp(-x^2) \leq 1+x^2, \quad x \in \mathbb{R},$$

and W admits an L_r Jackson theorem, but not an L_p Jackson theorem. That is, there exist $\{\eta_n\}_{n=1}^{\infty}$ with limit 0 at ∞ satisfying (5) in the L_r norm, but there does not exist such a sequence satisfying (5) in the L_p norm.

Theorem 1.3 shows that not only rate of decay, but also regularity, of W is necessary for a Jackson theorem. After all, the Hermite weight $\exp(-x^2)$ admits a Jackson theorem in L_p for all $1 \leq p \leq \infty$, but W is close to W_2 , yet admits a Jackson theorem in L_r but not L_p .

This paper is organised as follows: we prove restricted range inequalities in the next section, and an estimate for the "tails" $\|fW\|_{L_p(|x| \geq \lambda)}$ in Section 3. In Section 4, we prove Theorem 1.2. In Section 5, we prove Theorem 1.3.

Throughout C, C_1, C_2, \dots denote constants independent of n and x and polynomials P of degree $\leq n$. The same symbol may denote different constants in different occurrences. If (c_n) and (d_n) are sequences of real numbers, we write

$$c_n \sim d_n$$

if there exist $C_1, C_2 > 0$ such that

$$C_1 \leq c_n/d_n \leq C_2, n \geq 1.$$

Similar notation is used for functions. The linear measure of a set $B \subset \mathbb{R}$ is denoted by $meas(B)$. The set of all polynomials of degree $\leq n$ is denoted P_n .

2. RESTRICTED RANGE INEQUALITIES

Restricted range (or infinite-finite range) inequalities are a crucial ingredient in weighted approximation on the real line [8], [11], [12], [14]. However, none of the standard ones cover our class of weights. The methods used to prove the form we need, are similar to, but not the same, as in [10]. In this section, we fix $1 \leq p \leq \infty$, and let

$$(7) \quad \widetilde{W}(x) = \|W^{-1}\|_{L_q[0,x]}^{-1}, x \in (0, \infty),$$

where $\frac{1}{q} + \frac{1}{p} = 1$.

Theorem 2.1

Assume that for $x \in [0, \infty)$,

$$(8) \quad \|W\|_{L_p[x,\infty)} \|W^{-1}\|_{L_q[0,x]} \leq \psi(x),$$

where ψ is decreasing in $[0, \infty)$ and

$$(9) \quad \lim_{x \rightarrow \infty} \psi(x) = 0,$$

with a similar relation in $(-\infty, 0]$. There exists $q_n > 0, n \geq 1$, such that

$$(10) \quad q_n = o(n), n \rightarrow \infty,$$

and for $n \geq 1$, and all polynomials P of degree $\leq n$,

$$(11) \quad \|PW\|_{L_p(|x| \geq q_n)} \leq C4^{-n} \|PW\|_{L_p(\mathbb{R})}.$$

Here C is independent of n and P .

In the rest of this section, ψ is the function specified in Theorem 2.1. For $n \geq 1$, we choose $A_n > 0$ such that

$$\|x^n W(x)\|_{L_p[A_n, 2A_n]} = \max_{u \geq 1} \|x^n W(x)\|_{L_p[u, 2u]} =: \Lambda_n.$$

(We show below that A_n exists).

Lemma 2.2

(i) For $n \geq 0$,

$$\|x^n W(x)\|_{L_p[1, \infty)}$$

is finite.

(ii) For $n \geq 1$, A_n exists, is finite and positive, and

$$(12) \quad \lim_{n \rightarrow \infty} A_n = \infty.$$

(iii) For $n \geq 1$,

$$(13) \quad (2A_{n+2})^{-2} \Lambda_{n+2} \leq \|x^n W(x)\|_{L_p[1, \infty)} \leq (2A_{n+2}^{-2p} + 2^{2p+1})^{1/p} \Lambda_{n+2}.$$

(iv)

$$(14) \quad A_n = o(n), n \rightarrow \infty.$$

(v) If $\mathcal{B} \subset [0, 2A_{n+2}]$ has linear Lebesgue measure at least 1, then

$$\|W\|_{L_p(\mathcal{B})} \geq \psi(1)^{-1} (2A_{2n+2})^{-(2n+2)} \Lambda_{2n+2}.$$

Proof

Observe that (8) implies

$$(15) \quad \|W\|_{L_p[x, \infty)} \leq \psi(x) \widetilde{W}(x), x > 0,$$

and by Hölder's inequality, for $x \geq 1$,

$$1 \leq \|W\|_{L_p[x-1, x]} \|W^{-1}\|_{L_q[x-1, x]} \leq \|W\|_{L_p[x-1, x]} \|W^{-1}\|_{L_q[0, x]},$$

so that

$$(16) \quad \widetilde{W}(x) \leq \|W\|_{L_p[x-1, x]}, x \geq 1.$$

(i) If $p = \infty$, this was established in Lemma 2.3(a) in [10]. Suppose now $p < \infty$. Let $0 \leq a < b < \infty$. We see using (15) and (16) that

$$\begin{aligned} & \int_a^b x^{np} \left(\int_x^\infty W^p(t) dt \right) dx \leq \int_a^b x^{np} \psi^p(x) \widetilde{W}^p(x) dx \\ \Rightarrow & \int_a^\infty W^p(t) \left[\int_a^{\min\{t, b\}} x^{np} dx \right] dt \leq \psi^p(a) \int_a^b x^{np} \left[\int_{x-1}^x W^p(t) dt \right] dx \\ \Rightarrow & \int_a^b W^p(t) \frac{t^{np+1} - a^{np+1}}{np+1} dt \leq \psi^p(a) \int_{a-1}^b W^p(t) \left[\int_{\max\{t, a\}}^{\min\{t+1, b\}} x^{np} dx \right] dt \\ \leq & \psi^p(a) \int_{a-1}^b (t+1)^{np} W^p(t) dt. \end{aligned}$$

If $t \geq a2^{\frac{1}{np+1}}$, then $t^{np+1} \geq 2a^{np+1}$, and if $a \geq 2$, in the integral on the right-hand side,

$$(t+1)^{np} = t^{np} \left(1 + \frac{1}{t}\right)^{np} \leq t^{np} \left(1 + \frac{2}{a}\right)^{np} \leq t^{np} e^{\frac{2np}{a}}.$$

Thus

$$(17) \quad \int_{a2^{\frac{1}{np+1}}}^b t^{np+1} W^p(t) dt \leq 2\psi^p(a) (np+1) e^{\frac{2np}{a}} \int_{a-1}^b t^{np} W^p(t) dt.$$

As $a \geq 2$, $t^{np} \leq t^{np+1}$ in the integral on the right, so

$$\begin{aligned} & \int_{a2^{\frac{1}{np+1}}}^b t^{np+1} W^p(t) dt \left[1 - 2\psi^p(a) (np+1) e^{\frac{2np}{a}} \right] \\ & \leq 2\psi^p(a) (np+1) e^{\frac{2np}{a}} \int_{a-1}^{a2^{\frac{1}{np+1}}} x^{np} W^p(x) dx. \end{aligned}$$

If a is so large that $a \geq 2np$ and

$$(18) \quad 2\psi^p(a) (np+1) e \leq \frac{1}{2},$$

this gives

$$\int_{a2^{\frac{1}{np+1}}}^b t^{np+1} W^p(t) dt \leq \int_{a-1}^{a2^{\frac{1}{np+1}}} x^{np} W^p(x) dx.$$

Letting $b \rightarrow \infty$ gives the finiteness of the norm $\|x^n W(x)\|_{L_p[1, \infty)}$.

(ii) The existence of $A_n \in (0, \infty)$ follows as the norm in (i) is finite, and $u \rightarrow \|x^n W(x)\|_{L_p[u, 2u]}$ is a continuous function of u , with limit 0 as $u \rightarrow 0+$ and $u \rightarrow \infty$. (In the case $p = \infty$, this follows from the finiteness of $\|x^{n+1} W(x)\|_{L_p[1, \infty)}$). Next, for fixed $u > 0$,

$$\Lambda_n \geq \|x^n W(x)\|_{L_p[u, 2u]} \geq u^n \|W\|_{L_p[u, 2u]}$$

so

$$\liminf_{n \rightarrow \infty} \Lambda_n^{1/n} \geq u,$$

and hence

$$\lim_{n \rightarrow \infty} \Lambda_n^{1/n} = \infty.$$

If a subsequence of $\{A_n\}$ remained bounded, we see that the corresponding subsequence of $\{\Lambda_n\}$ cannot admit the growth just proven.

(iii) If $p = \infty$, the right-hand inequality in (13) is immediate. Suppose now that $p < \infty$. Choose j_0 such that

$$2^{j_0} \leq A_{n+2} \leq 2^{j_0+1}.$$

We see that

$$\begin{aligned} \int_1^{A_{n+2}} x^{np} W^p(x) dx & \leq \sum_{j=0}^{j_0} \int_{A_{n+2}/2^{j+1}}^{A_{n+2}/2^j} x^{np} \left(\frac{x}{A_{n+2}/2^{j+1}} \right)^{2p} W^p(x) dx \\ & \leq A_{n+2}^{-2p} \sum_{j=0}^{j_0} 2^{(j+1)2p} \Lambda_{n+2}^p \\ & \leq A_{n+2}^{-2p} 2^{(j_0+1)2p+1} \Lambda_{n+2}^p \leq 2^{2p+1} \Lambda_{n+2}^p. \end{aligned}$$

Also

$$\begin{aligned}
\int_{A_{n+2}}^{\infty} x^{np} W^p(x) dx &\leq \sum_{j=0}^{\infty} \int_{A_{n+2} 2^j}^{A_{n+2} 2^{j+1}} x^{np} \left(\frac{x}{A_{n+2} 2^j} \right)^{2p} W^p(x) dx \\
(19) \qquad \qquad \qquad &\leq A_{n+2}^{-2p} \left(\sum_{j=0}^{\infty} 2^{-2jp} \right) \Lambda_{n+2}^p \leq 2A_{n+2}^{-2p} \Lambda_{n+2}^p,
\end{aligned}$$

for large n . Then the upper bound in (13) follows. The lower bound follows from

$$\begin{aligned}
\|x^n W(x)\|_{L_p[1, \infty)} &\geq \|x^n W(x)\|_{L_p[A_{n+2}, 2A_{n+2}]} \\
&\geq (2A_{n+2})^{-2} \|x^{n+2} W(x)\|_{L_p[A_{n+2}, 2A_{n+2}]} \\
&= (2A_{n+2})^{-2} \Lambda_{n+2}.
\end{aligned}$$

(iv) If $p = \infty$, this follows from (19) of Lemma 2.3(a) in [10]. (There $\ell(n)$ plays a role similar to A_n). Suppose now $p < \infty$. If we choose $a = a_n := A_{n+2} 2^{-\frac{1}{np+1}}$, and $b = 2A_{n+2}$, (17) gives for large enough n ,

$$\int_{A_{n+2}}^{2A_{n+2}} t^{np+1} W^p(t) dt \leq 2\psi^p(a_n) (np+1) e^{\frac{2np}{a_n}} \int_{a_n-1}^b t^{np} W^p(t) dt.$$

Here by (iii),

$$\begin{aligned}
\int_{a_n-1}^b t^{np} W^p(t) dt &\leq (a_n - 1)^{-2p} \int_{a_n-1}^b t^{(n+2)p} W^p(t) dt \\
&\leq C A_{n+2}^{-2p} \Lambda_{n+2}^p,
\end{aligned}$$

with C independent of n . Combining the above two inequalities gives

$$\begin{aligned}
\Lambda_{n+2}^p &= \int_{A_{n+2}}^{2A_{n+2}} t^{(n+2)p} W^p(t) dt \\
&\leq (2A_{n+2})^{2p-1} \int_{A_{n+2}}^{2A_{n+2}} t^{np+1} W^p(t) dt \\
&\leq (2A_{n+2})^{2p-1} 2\psi^p(a_n) (np+1) e^{\frac{2np}{a_n}} C A_{n+2}^{-2p} \Lambda_{n+2}^p \\
&\leq C_1 \frac{n\psi^p(a_n)}{a_n} e^{\frac{2np}{a_n}} \Lambda_{n+2}^p.
\end{aligned}$$

Here C_1 is independent of n . If we write $a_n = \delta_n n$, we can recast this as

$$\frac{1}{\psi^p(a_n)} \leq C_1 \frac{1}{\delta_n} e^{\frac{2p}{\delta_n}}.$$

Since ψ has limit 0 at ∞ , and $a_n = A_{n+2} 2^{-\frac{1}{np+1}} \rightarrow \infty$, $n \rightarrow \infty$, it follows that necessarily $\delta_n = o(1)$ and so $a_n = o(n)$. That is

$$A_{n+2} = o(n).$$

(v) Exactly as above, Hölder's inequality gives

$$1 \leq \|W\|_{L_p(\mathcal{B})} \|W^{-1}\|_{L_q(\mathcal{B})} \leq \|W\|_{L_p(\mathcal{B})} \|W^{-1}\|_{L_q[0, A_{2n+2}]}.$$

Using (15), we can continue this as

$$\begin{aligned} \|W\|_{L_p(\mathcal{B})} &\geq \widetilde{W}(A_{2n+2}) \\ &\geq \psi(A_{2n+2})^{-1} \|W\|_{L_p[A_{2n+2}, \infty)} \\ &\geq \psi(1)^{-1} (2A_{2n+2})^{-(2n+2)} \|x^{2n+2}W(x)\|_{L_p[A_{2n+2}, 2A_{2n+2}]} \\ &= \psi(1)^{-1} (2A_{2n+2})^{-(2n+2)} \Lambda_{2n+2}. \end{aligned}$$

■

Lemma 2.3

There exists $C_2 > 0$ such that for $n \geq 1$ and all polynomials P of degree $\leq n$,

$$\|PW\|_{L_p[1600A_{2n+2}, \infty)} \leq C_2 4^{-n} \|PW\|_{L_p[0, \infty)}.$$

Proof

Our approach is similar to that in [9]. Let P be a polynomial of degree $k \leq n$, say

$$P(z) = c \prod_{j=1}^k (z - x_j).$$

We assume $\rho > 8$, $c \neq 0$, and split the zeros into “small” and “large” zeros: we assume that

$$\begin{aligned} |x_j| &\leq \rho, \quad j \leq i; \\ |x_j| &> \rho, \quad j > i. \end{aligned}$$

For $|u| \leq \frac{1}{2}\rho$, $x \geq \rho$ and $i < j \leq k$,

$$\left| \frac{x - x_j}{u - x_j} \right| \leq \frac{1 + x/|x_j|}{1 - |u|/|x_j|} \leq 2 \left(1 + \frac{x}{\rho} \right) \leq 4 \frac{x}{\rho}.$$

Then for such x, u

$$\left| \frac{P(x)}{P(u)} \right| \leq \left(\prod_{j=1}^i \frac{2x}{|u - x_j|} \right) \left(4 \frac{x}{\rho} \right)^{k-i}.$$

We now apply a famous lemma of Cartan:

$$\left| \prod_{j=1}^i (u - x_j) \right| \geq \varepsilon^i$$

for u outside a set of linear measure at most $4e\varepsilon$ [1, p. 175], [2, p. 350]. Choosing $\varepsilon = \frac{\rho}{100}$, we obtain

$$\left| \frac{P(x)}{P(u)} \right| \leq \left(\frac{200x}{\rho} \right)^k \leq \left(\frac{200x}{\rho} \right)^n,$$

for $x \geq \rho, u \in [0, \frac{1}{2}\rho] \setminus \mathcal{S}$, where

$$\text{meas}(\mathcal{S}) \leq \frac{4e}{100}\rho < \frac{1}{8}\rho.$$

Recall that meas denotes linear Lebesgue measure. Then for such u ,

$$(20) \quad \|PW\|_{L_p[400\rho, \infty)} \leq \left(\frac{200}{\rho} \right)^n |P(u)| \|x^n W(x)\|_{L_p[400\rho, \infty)}.$$

Moreover, $[0, \frac{1}{4}\rho] \setminus \mathcal{S}$ has measure at least $\frac{1}{8}\rho \geq 1$, so we may find $\mathcal{B} \subset [0, \frac{1}{4}\rho] \setminus \mathcal{S}$ with linear measure at least 1 and hence

$$\|PW\|_{L_p[400\rho, \infty)} \|W\|_{L_p(\mathcal{B})} \leq \left(\frac{200}{\rho} \right)^n \|PW\|_{L_p(\mathcal{B})} \|x^n W(x)\|_{L_p[400\rho, \infty)}.$$

Now we choose $\rho = 4A_{2n+2}$, at least for n so large that $4A_{2n+2} > 8$. Then $[0, \frac{1}{4}\rho] \setminus \mathcal{S} \subset [0, A_{2n+2}]$. By the previous lemma,

$$\|W\|_{L_p(\mathcal{B})} \geq \psi(1)^{-1} (2A_{2n+2})^{-(2n+2)} \Lambda_{2n+2}.$$

Combining the above inequalities, and (v) of the above lemma, gives if P is not identically 0,

$$\begin{aligned} & \|PW\|_{L_p[400\rho, \infty)} / \|PW\|_{L_p[0, \infty)} \\ & \leq \left(\frac{200}{\rho} \right)^n \|x^n W(x)\|_{L_p[400\rho, \infty)} / \left[\psi(1)^{-1} (2A_{2n+2})^{-(2n+2)} \Lambda_{2n+2} \right] \\ & \leq \left(\frac{1}{2\rho^2} \right)^n \|x^{2n} W(x)\|_{L_p[400\rho, \infty)} / \left[\psi(1)^{-1} (2A_{2n+2})^{-(2n+2)} \Lambda_{2n+2} \right] \\ & \leq C8^{-n} A_{2n+2}^2, \end{aligned}$$

by (iii) of the previous lemma. Here C is independent of n and P , and $A_{2n+2} = o(n)$, so the result follows. For the remaining finitely many n , for which $4A_{2n+2} < 8$, a simple compactness argument gives the result, if C_2 is large enough. ■

Proof of Theorem 2.1

This follows from Lemma 2.3, its analogue in $(-\infty, 0]$, and the fact that $A_n = o(n)$. ■

We also record:

Lemma 2.4

Let $W : \mathbb{R} \rightarrow (0, \infty)$ be continuous, $1 \leq p \leq \infty$, and assume that for each $n \geq 0$,

$$(21) \quad \|x^n W(x)\|_{L_p(\mathbb{R})} < \infty.$$

Then there exists an increasing sequence of positive numbers $\{\xi_n\}_{n=1}^\infty$ such that for $n \geq 1$ and all polynomials P of degree $\leq n$,

$$(22) \quad \|PW\|_{L_p(|x| \geq \xi_n)} \leq C_1 2^{-n} \|PW\|_{L_p(-1,1)},$$

where C_1 is independent of n, p, P .

Proof

See Theorem 2.2 in [10]. ■

3. TAIL ESTIMATES

We prove a "shifting" weighted Hardy inequality, involving the function

$$\phi(x) = \|W\|_{L_p[x, \infty)} \|W^{-1}\|_{L_q[0, x]}, \quad x \geq 0.$$

Theorem 3.1

Let $W : \mathbb{R} \rightarrow (0, \infty)$ be continuous. Let $1 \leq p \leq \infty$ and $\frac{1}{q} + \frac{1}{p} = 1$. The following are equivalent:

(I) There exists a decreasing function $\eta : (0, \infty) \rightarrow (0, \infty)$ with limit 0 at ∞ such that

$$(23) \quad \|fW\|_{L_p(|x| \geq a)} \leq \eta(a) \|f'W\|_{L_p[0, \infty)},$$

for all $a > 0$ and every absolutely continuous function $f : \mathbb{R} \rightarrow \mathbb{R}$ with $f(0) = 0$.

(II)

$$(24) \quad \lim_{a \rightarrow \infty} \phi(a) = \lim_{a \rightarrow \infty} \|W\|_{L_p[a, \infty)} \|W^{-1}\|_{L_q[0, a]} = 0,$$

with a similar limit as $a \rightarrow -\infty$.

Lemma 3.2

Let $a > 0$. Then

$$\|fW\|_{L_p[a, \infty)} \leq p^{\frac{1}{p}} q^{\frac{1}{q}} \left(\sup_{x \geq a} \phi(x) \right) \|f'W\|_{L_p[a, \infty)},$$

for every absolutely continuous function $f : [a, \infty) \rightarrow \mathbb{R}$ with $f(a) = 0$.

Here if $p = \infty$ or $p = 1$, we interpret $p^{\frac{1}{p}} q^{\frac{1}{q}}$ as 1.

Proof

Let

$$B = \sup_{x \in (a, \infty)} \|W\|_{L_p[x, \infty)} \|W^{-1}\|_{L_q[a, x]}.$$

The classical weighted Hardy inequality asserts that for every f as above,

$$\|fW\|_{L_p[a, \infty)} \leq p^{\frac{1}{p}} q^{\frac{1}{q}} B \|f'W\|_{L_p[a, \infty)}.$$

(See [13, p. 13, Thm. 1.14] for the proof when $1 < p < \infty$. Take $q = p$ there and $w = v = W^p$. For $p = 1$ or $p = \infty$, see [13, Lemma 5.4, p. 49]. An alternative reference is [7].) Since

$$B \leq \sup_{x \in (a, \infty)} \|W\|_{L_p[x, \infty)} \|W^{-1}\|_{L_q[0, x]} = \sup_{x \geq a} \phi(x),$$

the result follows. ■

Lemma 3.3

Let $a > 0$. Then

$$\|fW\|_{L_p[a, \infty)} \leq \left(1 + p^{\frac{1}{p}} q^{\frac{1}{q}}\right) \left(\sup_{x \geq a} \phi(x)\right) \|f'W\|_{L_p[0, \infty)},$$

for every absolutely continuous function $f : [0, \infty) \rightarrow \mathbb{R}$ with $f(0) = 0$.

Proof

Write for $x \geq a$,

$$f(x) = \int_0^a f' + \int_a^x f' =: C + f_1(x).$$

Then

$$(25) \quad \|fW\|_{L_p[a, \infty)} \leq \|CW\|_{L_p[a, \infty)} + \|f_1W\|_{L_p[a, \infty)}.$$

Here by Hölder's inequality, applied to C ,

$$\begin{aligned} \|CW\|_{L_p[a, \infty)} &\leq \|f'W\|_{L_p[0, a]} \|W^{-1}\|_{L_q[0, a]} \|W\|_{L_p[a, \infty)} \\ &= \|f'W\|_{L_p[0, a]} \phi(a). \end{aligned}$$

Moreover by Lemma 3.2, as $f_1(a) = 0$,

$$\|f_1W\|_{L_p[a, \infty)} \leq p^{\frac{1}{p}} q^{\frac{1}{q}} \left(\sup_{x \geq a} \phi(x)\right) \|f'W\|_{L_p[a, \infty)}.$$

Combining the above three inequalities gives the result. ■

Proof of Theorem 3.1

Sufficiency of (24) and its analogous limit at $-\infty$

This follows directly from Lemma 3.3. We can choose

$$\eta_+(a) = \left(1 + p^{1/p} q^{1/q}\right) \sup_{x \geq a} \phi(x), \quad a > 0,$$

with a similar function η_- to handle $(-\infty, 0)$, and then set $\eta = \max\{\eta_-, \eta_+\}$.

Necessity of (24) and its analogous limit at $-\infty$

For $p = 1$ and $p = \infty$, the necessity was established in the proof of Theorem 3.1 in [10]. Suppose now $1 < p < \infty$. Let $a > 0$ and

$$f(x) = \int_0^{\min\{x, a\}} W^{-q}, \quad x \geq 0.$$

Then

$$\|f'W\|_{L_p[0,\infty)} = \left(\int_0^a W^{(1-q)p} \right)^{\frac{1}{p}} = \|W^{-1}\|_{L_q[0,a]}^{\frac{1}{p-1}},$$

so

$$\begin{aligned} & \|f'W\|_{L_p[0,\infty)} \phi(a) \\ &= \|f'W\|_{L_p[0,\infty)} \|W^{-1}\|_{L_q[0,a]} \|W\|_{L_p[a,\infty)} \\ &= \|W^{-1}\|_{L_q[0,a]}^{\left(\frac{1}{p-1}+1\right)} \|W\|_{L_p[a,\infty)} \\ &= \left(\int_0^a W^{-q} \right) \|W\|_{L_p[a,\infty)} = \|fW\|_{L_p[a,\infty)}. \end{aligned}$$

Our hypothesis gives

$$\eta(a) \geq \|fW\|_{L_p[a,\infty)} / \|f'W\|_{L_p[0,\infty)} = \phi(a).$$

So ϕ has limit 0 at ∞ . Similarly, the analogous limit follows at $-\infty$. ■

4. WEIGHTED APPROXIMATION

We begin with two lemmas, which are similar to corresponding lemmas in [10]. We shall use notation specific to this section: we use integers $n \geq 4$ and $1 \leq m \leq \frac{n}{4}$, as well as parameters

$$1 < \lambda \leq \frac{1}{2}q_m,$$

where $\{q_n\}_{n=1}^{\infty}$ are as in Theorem 2.1. We let $\rho(m)$ denote an increasing function that depends on m and W , while $\sigma(\lambda)$ denotes a function increasing in λ . These functions change in different occurrences. The essential feature is that σ is independent of m, n, p and functions f , while ρ is independent of λ, p and functions f . At the end, we choose m to grow slowly enough as a function of n , and then $\lambda \rightarrow \infty$ sufficiently slowly. We let \mathcal{P}_m denote the set of polynomials of degree $\leq m$ with real coefficients.

Lemma 4.1

Let $W : \mathbb{R} \rightarrow (0, \infty)$ be continuous and satisfy (6), with an analogous limit at $-\infty$.

(a) There exists an increasing function $\sigma : [0, \infty) \rightarrow [0, \infty)$ with the following properties: let $m, \lambda \geq 1$. For $1 \leq p \leq \infty$ and all absolutely continuous f with $f'W \in L_p(\mathbb{R})$, there exists $R_m \in \mathcal{P}_m$ such that

$$\|(f - R_m)W\|_{L_p[-2\lambda, 2\lambda]} \leq \frac{\sigma(\lambda)}{m} \|f'W\|_{L_p(\mathbb{R})}.$$

(b) There is an increasing function $\rho : \mathbb{Z}_+ \rightarrow (0, \infty)$ depending only on W such that

$$\|R_m W\|_{L_p(\mathbb{R})} \leq \rho(m) \left(\|fW\|_{L_p(\mathbb{R})} + \|f'W\|_{L_p(\mathbb{R})} \right).$$

Proof

(a) By the classical Jackson's Theorem [3, (6.4), Theorem 6.2, p. 219], there exists $R_m \in \mathcal{P}_m$ such that

$$\|f - R_m\|_{L_p[-2\lambda, 2\lambda]} \leq \frac{\pi\lambda}{m+1} \|f'\|_{L_p[-2\lambda, 2\lambda]}.$$

Then

$$\|(f - R_m)W\|_{L_p[-2\lambda, 2\lambda]} \leq \frac{\pi\lambda}{m} \|W\|_{L_\infty[-2\lambda, 2\lambda]} \|W^{-1}\|_{L_\infty[-2\lambda, 2\lambda]} \|f'W\|_{L_p(\mathbb{R})}.$$

So we may take

$$\sigma(\lambda) = \pi\lambda \|W\|_{L_\infty[-2\lambda, 2\lambda]} \|W^{-1}\|_{L_\infty[-2\lambda, 2\lambda]}.$$

(b) From our restricted range inequalities, and continuity of W ,

$$\|R_m W\|_{L_p(\mathbb{R})} \leq C \|R_m\|_{L_p[-q_m, q_m]} \|W\|_{L_\infty[-q_m, q_m]}.$$

Moreover, from the proof of (a),

$$\begin{aligned} & \|R_m\|_{L_p[-2\lambda, 2\lambda]} \\ & \leq \|f\|_{L_p[-2\lambda, 2\lambda]} + \frac{\pi\lambda}{m} \|f'\|_{L_p[-2\lambda, 2\lambda]} \\ & \leq \|W^{-1}\|_{L_\infty[-2\lambda, 2\lambda]} \left[\|fW\|_{L_p[-2\lambda, 2\lambda]} + \pi\lambda \|f'W\|_{L_p[-2\lambda, 2\lambda]} \right]. \end{aligned}$$

We shall show that

$$(26) \quad \|R_m\|_{L_p[-q_m, q_m]} \leq C m^{2/p} \left(\frac{q_m}{\lambda}\right)^{m+\frac{1}{p}} \|R_m\|_{L_p[-2\lambda, 2\lambda]},$$

where C is independent of $m, \lambda, q_m, \{R_m\}$. (Recall that $2\lambda \leq q_m$). Then, on combining the above inequalities, we obtain

$$\|R_m W\|_{L_p(\mathbb{R})} \leq \rho(m) \left[\|fW\|_{L_p[-2\lambda, 2\lambda]} + \|f'W\|_{L_p[-2\lambda, 2\lambda]} \right]$$

where

$$\rho(m) = C m^{2/p} q_m^{m+1/p} \|W\|_{L_\infty[-q_m, q_m]} \|W^{-1}\|_{L_\infty[-q_m, q_m]} (1 + \pi q_m).$$

Now we proceed to establish (26). Recall the Chebyshev inequality [3, Proposition 2.3, p. 101], valid for polynomials P of degree $\leq m$:

$$|P(x)| \leq |T_m(x)| \|P\|_{L_\infty[-1, 1]}, \quad |x| > 1.$$

Here T_m is the classical Chebyshev polynomial of the first kind. By dilating this, and using the bound

$$|T_m(x)| \leq (2|x|)^m, \quad |x| > 1,$$

we obtain

$$\|R_m\|_{L_\infty[-q_m, q_m]} \leq \left(\frac{q_m}{\lambda}\right)^m \|R_m\|_{L_\infty[-2\lambda, 2\lambda]}.$$

Using Nikolskii inequalities [3, Theorem 2.6, p. 102], we continue this as

$$\begin{aligned} \|R_m\|_{L_p[-q_m, q_m]} &\leq (2q_m)^{1/p} \|R_m\|_{L_\infty[-q_m, q_m]} \\ &\leq (2q_m)^{1/p} \left(\frac{q_m}{\lambda}\right)^m \left(\frac{(p+1)m^2}{2\lambda}\right)^{1/p} \|R_m\|_{L_p[-2\lambda, 2\lambda]}, \end{aligned}$$

and then we have (26). ■

Lemma 4.2

There exists $C > 0$ such that for large enough n , and for $1 \leq \lambda \leq \frac{1}{2}q_n$, there are nonnegative polynomials V_n of degree $\leq 3n/4$ such that

$$(27) \quad |1 - V_n(x)| \leq C \frac{q_n}{n\lambda}, x \in [-\lambda, \lambda];$$

$$(28) \quad 0 \leq V_n(x) \leq C, |x| \in [\lambda, 2\lambda];$$

$$(29) \quad 0 \leq V_n(x) \leq C \left(\frac{q_n}{n\lambda}\right)^2, |x| \in [2\lambda, q_n].$$

Here C is independent of n, λ and x .

Proof

See Lemma 4.2 in [10]. ■

Proof of the sufficiency part of Theorem 1.2

This is quite similar to that of Theorem 1.1 in [10], but there is an important difference: there we introduced estimates for $R_m W$ in the uniform norm, while here we need to restrict ourselves to a given L_p norm. So we include all the details.

We may assume that $f(0) = 0$. (If not, replace f by $f - f(0)$ and absorb the constant $f(0)$ into the approximating polynomial). We choose $n \geq 1$ and $1 \leq m \leq n/4$, and let λ satisfy $1 \leq \lambda \leq \frac{1}{2}q_m$. Let R_m and V_n denote the polynomials of Lemma 4.1 and 4.2 respectively, and let

$$P_n = R_m V_n.$$

Then P_n is a polynomial of degree $\leq n$, and

$$\begin{aligned} &\inf_{\deg(P) \leq n} \|(f - P)W\|_{L_p(\mathbb{R})} \\ &\leq \|(f - P_n)W\|_{L_p(\mathbb{R})} \\ &\leq \|(f - P_n)W\|_{L_p[-q_n, q_n]} + \|fW\|_{L_p(\mathbb{R} \setminus [-q_n, q_n])} + \|P_n W\|_{L_p(\mathbb{R} \setminus [-q_n, q_n])} \\ &\leq \|(f - P_n)W\|_{L_p[-q_n, q_n]} + \|fW\|_{L_p(\mathbb{R} \setminus [-\lambda, \lambda])} + C4^{-n} \|P_n W\|_{L_p[-q_n, q_n]}, \end{aligned} \tag{30}$$

by Theorem 2.1 and as $q_n > \lambda$. Here,

$$\begin{aligned} & \| (f - P_n) W \|_{L_p[-q_n, q_n]} \\ & \leq \| (f - P_n) W \|_{L_p[-\lambda, \lambda]} + \| f W \|_{L_p(\mathbb{R} \setminus [-\lambda, \lambda])} + \| P_n W \|_{L_p([-q_n, q_n] \setminus [-\lambda, \lambda])} \\ (31) \quad & : T_1 + T_2 + T_3. \end{aligned}$$

Firstly

$$\begin{aligned} T_1 & \leq \| (f - R_m) W \|_{L_p[-\lambda, \lambda]} + \| R_m (1 - V_n) W \|_{L_p[-\lambda, \lambda]} \\ & \leq \| (f - R_m) W \|_{L_p[-\lambda, \lambda]} + \| R_m W \|_{L_p[-\lambda, \lambda]} \| 1 - V_n \|_{L_\infty[-\lambda, \lambda]} \\ & \leq \frac{\sigma(\lambda)}{m} \| f' W \|_{L_p(\mathbb{R})} + \rho(m) (\| f W \|_{L_p(\mathbb{R})} + \| f' W \|_{L_p(\mathbb{R})}) \| 1 - V_n \|_{L_\infty[-\lambda, \lambda]} \\ & \leq \frac{\sigma(\lambda)}{m} \| f' W \|_{L_p(\mathbb{R})} + \rho(m) \frac{q_n}{n} \| f' W \|_{L_p(\mathbb{R})}, \\ (32) \end{aligned}$$

by Lemmas 4.1, 4.2 and Theorem 3.1. Note that since $f(0) = 0$, the latter gives

$$\| f W \|_{L_p(\mathbb{R})} \leq \eta(0) \| f' W \|_{L_p(\mathbb{R})}.$$

The crucial thing in (32) is that σ and ρ are independent of f, n, p . Next, Theorem 3.1 gives,

$$(33) \quad T_2 \leq \eta(\lambda) \| f' W \|_{L_p(\mathbb{R})}.$$

Of course this estimate also applies to the middle term in the right-hand side of (30). Next,

$$\begin{aligned} T_3 & \leq \| P_n W \|_{L_p(\lambda \leq |x| \leq 2\lambda)} + \| P_n W \|_{L_p(2\lambda \leq |x| \leq q_n)} \\ & = : T_{31} + T_{32}. \end{aligned}$$

Here

$$\begin{aligned} T_{31} & \leq \| R_m W \|_{L_p(\lambda \leq |x| \leq 2\lambda)} \| V_n \|_{L_\infty(\lambda \leq |x| \leq 2\lambda)} \\ & \leq C (\| (R_m - f) W \|_{L_p(\lambda \leq |x| \leq 2\lambda)} + \| f W \|_{L_p(\lambda \leq |x| \leq 2\lambda)}) \\ (34) \quad & \leq C \left(\frac{\sigma(\lambda)}{m} \| f' W \|_{L_p(\mathbb{R})} + \eta(\lambda) \| f' W \|_{L_p(\mathbb{R})} \right), \end{aligned}$$

by Lemmas 4.1, 4.2 and Theorem 3.1. Also,

$$\begin{aligned} T_{32} & \leq \| R_m W \|_{L_p(2\lambda \leq |x| \leq q_n)} \| V_n \|_{L_\infty(2\lambda \leq |x| \leq q_n)} \\ & \leq \rho(m) \| f' W \|_{L_p(\mathbb{R})} C_1 \left(\frac{q_n}{n} \right)^2, \end{aligned}$$

by Lemmas 4.1, 4.2 and another application of Theorem 3.1. Combining this and the estimates in (31) to (34) gives

$$\begin{aligned} & \| (f - P_n) W \|_{L_p[-q_n, q_n]} \\ (35) \quad & \leq \| f' W \|_{L_p(\mathbb{R})} C \left\{ \frac{\sigma(\lambda)}{m} + \rho(m) \frac{q_n}{n} + \eta(\lambda) \right\}. \end{aligned}$$

Then using this estimate and Theorem 3.1, we deduce that

$$\|P_n W\|_{L_p[-q_n, q_n]} \leq \|f'W\|_{L_p(\mathbb{R})} C \left\{ \frac{\sigma(\lambda)}{m} + \rho(m) \frac{q_n}{n} + 1 \right\}.$$

Combining this estimate, (30) and (35) gives

$$\inf_{\deg(P) \leq n} \|(f - P)W\|_{L_p(\mathbb{R})} \leq \|f'W\|_{L_p(\mathbb{R})} C \left\{ \frac{\sigma(\lambda)}{m} + \rho(m) \frac{q_n}{n} + \eta(\lambda) + 4^{-n} \right\},$$

with C independent of $n, m, \lambda, \rho, \sigma$. The functions σ and ρ obey the conventions listed at the beginning of this section, and are independent of f, n, m, p , as is the constant C . For a given large enough $n \geq 1$, we choose $m = m(n)$ to be the largest integer $\leq n/2$ such that

$$\rho(m) \frac{q_n}{n} \leq \left(\frac{q_n}{n} \right)^{1/2}.$$

Since (by Theorem 2.1) $q_n/n \rightarrow 0$ as $n \rightarrow \infty$, while ρ is increasing and finite valued, necessarily $m = m(n)$ approaches ∞ as $n \rightarrow \infty$. Next, for the given $m = m(n)$, we choose the largest $\lambda = \lambda(n) \leq m$ such that

$$\sigma(\lambda) \leq \sqrt{m}$$

As σ is finite valued, necessarily $\lambda(n) \rightarrow \infty$, so $\eta(\lambda(n)) \rightarrow 0, n \rightarrow \infty$. Then for some sequence $\{\eta_n\}_{n=1}^{\infty}$ with limit 0, and which is independent of f ,

$$\inf_{\deg(P) \leq n} \|(f - P)W\|_{L_p(\mathbb{R})} \leq \eta_n \|f'W\|_{L_p(\mathbb{R})}.$$

For the remaining finitely many n , we can set $\eta_n = \eta(0)$, and use

$$\inf_{\deg(P) \leq n} \|(f - P)W\|_{L_p(\mathbb{R})} \leq \|fW\|_{L_p(\mathbb{R})} \leq \eta(0) \|f'W\|_{L_p(\mathbb{R})}.$$

■

Proof of the necessity part of Theorem 1.2

We assume that (5) is true for every absolutely continuous f with $\|f'W\|_{L_p(\mathbb{R})}$ finite, where $p = 1$ or $p = \infty$. In particular, if we choose f to be 0 outside $[-1, 1]$, and not a.e. a polynomial in $[-1, 1]$, we obtain for some sequence $\{P_n\}_{n=1}^{\infty}$ of polynomials with degrees tending to ∞ ,

$$\|P_n W\|_{L_p(|x| \geq 1)} \rightarrow 0, n \rightarrow \infty.$$

As P_n behaves for large $|x|$ like its leading term, this forces

$$\|x^n W(x)\|_{L_p(\mathbb{R})} < \infty,$$

for each $n \geq 0$. Then the hypothesis (21) of Lemma 2.4 is fulfilled, and consequently there exist $\{\xi_n\}_{n=1}^{\infty}$ such that (22) holds for all polynomials P_n of degree $\leq n$. Let us consider an absolutely continuous f with $f(0) = 0$ and $\|f'W\|_{L_p(\mathbb{R})}$ finite. Our hypothesis asserts that there are for large n polynomials $\{P_n\}_{n=1}^{\infty}$ of degree $\leq n$ with

$$\|(f - P_n)W\|_{L_p(\mathbb{R})} \leq \eta_n \|f'W\|_{L_p(\mathbb{R})}$$

$$\Rightarrow \|fW\|_{L_p(|x|\geq\xi_n)} \leq \eta_n \|f'W\|_{L_p(\mathbb{R})} + \|P_n W\|_{L_p(|x|\geq\xi_n)}.$$

By Lemma 2.4, and then our hypothesis on $\{P_n\}_{n=1}^\infty$,

$$\begin{aligned} \|P_n W\|_{L_p(|x|\geq\xi_n)} &\leq C2^{-n} \|P_n W\|_{L_p[-1,1]} \\ &\leq C2^{-n} (\|fW\|_{L_p[-1,1]} + \eta_n \|f'W\|_{L_p(\mathbb{R})}). \end{aligned}$$

Here

$$\begin{aligned} \|fW\|_{L_p[0,1]} &\leq \|W\|_{L_\infty[0,1]} \left\| \int_0^x f'(t) dt \right\|_{L_p[0,1]} \\ &\leq \|W\|_{L_\infty[0,1]} \|f'\|_{L_p[0,1]} \\ &\leq \|W\|_{L_\infty[0,1]} \|W^{-1}\|_{L_\infty[0,1]} \|f'W\|_{L_p[0,1]}. \end{aligned}$$

A similar inequality holds over $[-1, 0]$ and hence

$$\|fW\|_{L_p[-1,1]} \leq 2\|W\|_{L_\infty[-1,1]} \|W^{-1}\|_{L_\infty[-1,1]} \|f'W\|_{L_p[-1,1]}.$$

The case $p = \infty$ is easier. Combining all the above inequalities gives

$$\|fW\|_{L_p(|x|\geq\xi_n)} \leq \eta_n^* \|f'W\|_{L_p(\mathbb{R})},$$

where $\{\eta_n^*\}_{n=1}^\infty$ has limit 0 and is independent of f . The same inequality then holds for the L_p norm of fW over $|x| \geq \lambda$, where $\lambda \in [\xi_n, \xi_{n+1}]$. It follows that there is a positive decreasing function η with limit 0 at ∞ such that (23) holds for absolutely continuous f with $f(0) = 0$ and $\|f'W\|_{L_p(\mathbb{R})}$ finite. Then Theorem 3.1 gives the limit (6). ■

5. PROOF OF THEOREM 1.3

In this section, we let

$$W_2(x) = \exp(-x^2), x \in \mathbb{R},$$

denote the Hermite weight. Moreover, we determine q, s by the equations

$$\frac{1}{r} + \frac{1}{s} = 1 \text{ and } \frac{1}{p} + \frac{1}{q} = 1.$$

The construction is more complicated than that in [10], but the general idea is the same. We choose intervals

$$[j - \alpha_j, j + \alpha_j], j \geq 3$$

where $\alpha_j \leq \frac{1}{2j}$, $j \geq 3$. We set

$$(36) \quad W(x) = W_2(x), \quad x \in \mathbb{R} \setminus \bigcup_{j=3}^{\infty} (j - \alpha_j, j + \alpha_j).$$

(I) For the case where $p < r$, we set

$$(37) \quad W(j) = W_2(j) / [j \log j], \quad j \geq 3,$$

choose

$$(38) \quad \beta \in (s, q)$$

and

$$(39) \quad \alpha_j = \frac{1}{2j(\log j)^\beta}, \quad j \geq 3.$$

(II) For the case where $p > r$, we set

$$(40) \quad W(j) = W_2(j) [j \log j], \quad j \geq 3,$$

choose

$$(41) \quad \beta \in (r, p)$$

and

$$(42) \quad \alpha_j = \frac{1}{2j(\log j)^\beta}, \quad j \geq 3.$$

In both cases we then define W so that W/W_2 is linear in $[j - \alpha_j, j]$ and in $[j, j + \alpha_j]$. This ensures that W is continuous in \mathbb{R} . (Of course we could ensure it is C^∞ by smoothing at j and $j \pm \alpha_j$.) It also implies under (38) that,

$$(43) \quad 1 \geq W(x)/W_2(x) \geq \frac{1}{1+x^2}, \quad x \in \mathbb{R},$$

and under (40),

$$(44) \quad 1 \leq W(x)/W_2(x) \leq 1+x^2, \quad x \in \mathbb{R}.$$

(Since $\log x = o(x)$, these inequalities are clear for large $|x|$. However they are even true for "small" $|x|$, as shown by some simple calculations.) We shall make repeated use of the fact that uniformly in j and x ,

$$W_2(x) \sim W_2(j), \quad x \in [j - \alpha_j, j + \alpha_j],$$

as follows since $\alpha_j \leq \frac{1}{2j}$. We now show that W fulfils the asymptotic behavior required for Theorem 1.3.

Lemma 4.2

(a) Let $p < r$ and W satisfy (37), (38) and (39). Then

$$(45) \quad \limsup_{x \rightarrow \infty} \|W^{-1}\|_{L_q[0,x]} \|W\|_{L_p[x,\infty)} = \infty$$

but

$$(46) \quad \lim_{x \rightarrow \infty} \|W^{-1}\|_{L_s[0,x]} \|W\|_{L_r[x,\infty)} = 0.$$

(b) Let $p > r$ and W satisfy (40), (41) and (42). Then (45) and (46) are valid.

Proof

(a) Note that as $1 \leq p < r$, so $p, s < \infty$. Let $c > 0$. Some simple calculations show that for $1 \leq a \leq b$,

$$(47) \quad \int_a^b W_2^{-c} \sim W_2^{-c}(b) \min \left\{ \frac{1}{b}, b-a \right\}$$

and if also $b \leq 2a$,

$$(48) \quad \int_a^b W_2^c \sim W_2^c(a) \min \left\{ \frac{1}{b}, b-a \right\}.$$

Since $\alpha_j = O\left(\frac{1}{j}\right)$, we see that $W_2(j + \alpha_j) \sim W_2(j)$ and hence applying (48),

$$\int_j^\infty W^p \geq \int_{j+\alpha_j}^{j+1-\alpha_{j+1}} W_2^p \geq \frac{C}{j} W_2(j)^p.$$

Moreover, by (47), if $q < \infty$,

$$\int_0^j W^{-q} \geq C (j \log j)^q \int_{j-\frac{\alpha_j}{2}}^j W_2^{-q} \geq C (j \log j)^q \alpha_j W_2(j)^{-q}.$$

Then

$$\begin{aligned} \|W^{-1}\|_{L_q[0,j]} \|W\|_{L_p[j,\infty)} &\geq C [j \log j] \alpha_j^{1/q} j^{-1/p} \\ &= C (\log j)^{1-\beta/q} \rightarrow \infty, \end{aligned}$$

$j \rightarrow \infty$, by (38). We then have (45) for the case $1 < p, q < \infty$. If $q = \infty$, it is easy to see that (45) persists, by minor modifications of the above arguments.

The proof of (46) is a little more difficult because it involves a full limit. Let $x \geq 2$ and j_0 denote the least integer $\geq x$. We see that as $\alpha_j = O\left(\frac{1}{j}\right)$,

$$\begin{aligned} \int_0^x W^{-s} &\leq \int_{(0,x) \setminus \bigcup_{j=3}^{j_0} (j-\alpha_j, j+\alpha_j)} W_2^{-s} + \sum_{j=3}^{j_0-1} \int_{j-\alpha_j}^{j+\alpha_j} W^{-s} + \int_{[j_0-\alpha_{j_0}, x]} W^{-s} \\ &\leq \int_0^x W_2^{-s} + C \sum_{j=3}^{j_0-1} \alpha_j W_2^{-s}(j) (j \log j)^s + C \alpha_{j_0} W^{-s}(x) (j_0 \log j_0)^s \\ &\leq C W_2(x)^{-s} / x + C W_2^{-s}(x) x^{s-1} (\log x)^{s-\beta}, \end{aligned}$$

as for large enough j , and some $\theta < 1$ independent of j ,

$$\frac{\alpha_j W_2^{-s}(j) (j \log j)^s}{\alpha_{j-1} W_2^{-s}(j-1) ((j-1) \log(j-1))^s} < \theta.$$

We also used (47). Then this and (43) give

$$\begin{aligned} \|W^{-1}\|_{L_s[0,x]} \|W\|_{L_r[x,\infty)} &\leq C W_2^{-1}(x) x^{1-1/s} (\log x)^{1-\beta/s} \|W_2\|_{L_r[x,\infty)} \\ &\leq C W_2^{-1}(x) x^{1-1/s} (\log x)^{1-\beta/s} W_2(x) x^{-1/r} \\ &= C (\log x)^{1-\beta/s} \rightarrow 0, \end{aligned}$$

$x \rightarrow \infty$ as $\beta > s$, recall (38).

(b) This is very similar to (a). Note that as $p > r \geq 1$, so $r, q < \infty$. By

(40), if $p < \infty$,

$$\int_j^\infty W^p \geq C \int_j^{j+\alpha_j/2} (j \log j)^p W_2^p \geq C \alpha_j j^p (\log j)^p W_2(j)^p.$$

Moreover,

$$\int_0^j W^{-q} \geq \int_{j-1+\alpha_{j-1}}^{j-\alpha_j} W_2^{-q} \geq C j^{-1} W_2(j)^{-q},$$

by (47). Then

$$\begin{aligned} \|W^{-1}\|_{L_q[0,j]} \|W\|_{L_p[j,\infty)} &\geq C j^{-1/q} \alpha_j^{1/p} j \log j \\ &= C (\log j)^{1-\beta/p} \rightarrow \infty, \end{aligned}$$

as $\beta < p$ (recall (41)). If $p = \infty$, this argument requires minor modifications.

So we have (46). Next, if j_1 is the largest integer $\leq x$,

$$\begin{aligned} \int_x^\infty W^r &\leq \int_{(x,\infty) \setminus \bigcup_{j=j_1}^\infty (j-\alpha_j, j+\alpha_j)} W_2^r + \sum_{j=j_1}^\infty \int_{j-\alpha_j}^{j+\alpha_j} W_2^r (j \log j)^r + \int_{[x, j_1+\alpha_{j_1}]} W_2^r (j_1 \log j_1)^r \\ &\leq \int_x^\infty W_2^r + C \sum_{j=j_1+1}^\infty \alpha_j (j \log j)^r W_2^r(j) + C W_2^r(x) \alpha_{j_1} (j_1 \log j_1)^r \\ &\leq C W_2(x)^r / x + j_1^{r-1} (\log j_1)^{r-\beta} W_2^r(x) \\ &\leq C x^{r-1} (\log x)^{r-\beta} W_2^r(x), \end{aligned}$$

by (48) and as again for large j and some $\theta < 1$,

$$\frac{\alpha_j (j \log j)^r W_2^r(j)}{\alpha_{j-1} ((j-1) \log(j-1))^r W_2^r(j-1)} < \theta.$$

Then (46) and (47) gives

$$\begin{aligned} \|W^{-1}\|_{L_s[0,x]} \|W\|_{L_r[x,\infty)} &\leq C \|W_2^{-1}\|_{L_s[0,x]} W_2(x) x^{1-1/r} (\log x)^{1-\beta/r} \\ &\leq C W_2^{-1}(x) x^{-1/s} W_2(x) x^{1-1/r} (\log x)^{1-\beta/r} \\ &= C (\log x)^{1-\beta/r} \rightarrow 0, \end{aligned}$$

$x \rightarrow \infty$, as $\beta > r$ (recall (41)). ■

Proof of Theorem 1.3

This follows directly from the limit conditions in Lemma 4.2 and from Theorem 1.2. ■

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